



# Khayyam Journal of Mathematics

emis.de/journals/KJM  
kjm-math.org

## FINITE RANK LITTLE HANKEL OPERATORS ON $L_a^2(\mathbb{U}_+)$

NAMITA DAS<sup>1</sup> AND SWORUP KUMAR DAS<sup>1\*</sup>

Communicated by J.M. Aldaz

**ABSTRACT.** Let  $\psi \in L^\infty(\mathbb{U}_+)$ , where  $\mathbb{U}_+$  is the upper half plane in  $\mathbb{C}$  and let  $S_\psi$  be the little Hankel operator with symbol  $\psi$  defined on the Bergman space  $L_a^2(\mathbb{U}_+)$ . In this article, we show that if  $S_\psi$  is of finite rank, then  $\psi = \varphi + \chi$ , where  $\chi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp \cap L^\infty(\mathbb{U}_+)$  and  $\varphi$  is a linear combination of  $d\bar{w}$ ,  $w \in \mathbb{U}_+$  and some of their derivatives.

### 1. INTRODUCTION

Let  $\mathbb{U}_+ = \{z = x + iy \in \mathbb{C} : y > 0\}$  be the upper half plane in  $\mathbb{C}$ , and let  $d\tilde{A} = dx dy$  be the area measure on  $\mathbb{U}_+$ . Let  $L^2(\mathbb{U}_+, d\tilde{A})$  denote the Hilbert space of complex valued, absolutely square integrable, Lebesgue measurable functions on  $\mathbb{U}_+$  with the inner product  $\langle f, g \rangle = \int_{\mathbb{U}_+} f(s) \overline{g(s)} d\tilde{A}(s)$ , and the corresponding norm is defined by  $\|f\|_2 = \langle f, f \rangle^{\frac{1}{2}} = \left[ \int_{\mathbb{U}_+} |f(s)|^2 d\tilde{A}(s) \right]^{\frac{1}{2}} < \infty$ .

Let  $L_a^2(\mathbb{U}_+)$  be the closed subspace of  $L^2(\mathbb{U}_+, d\tilde{A})$  consisting of all analytic functions in  $L^2(\mathbb{U}_+, d\tilde{A})$ . The space  $L_a^2(\mathbb{U}_+)$  is called the Bergman space on  $\mathbb{U}_+$ . It is a reproducing kernel Hilbert space and  $K_w(s) = -\frac{1}{\pi(\bar{w}-s)^2}$ ,  $w, s \in \mathbb{U}_+$ , is the reproducing kernel for the Bergman space  $L_a^2(\mathbb{U}_+)$ . The Bergman (orthogonal) projection  $P_+$  from  $L^2(\mathbb{U}_+, d\tilde{A})$  onto  $L_a^2(\mathbb{U}_+)$  is given by  $(P_+f)(w) = \langle f, K_w \rangle$ . Let  $L^\infty(\mathbb{U}_+)$  be the space of all complex valued, essentially bounded, Lebesgue

*Date:* Received: 12 August 2020; Revised: 4 March 2021; Accepted: 9 March 2021.

\*Corresponding author.

2020 *Mathematics Subject Classification.* Primary 30H20; Secondary 47B35, 32A36.

*Key words and phrases.* Bergman space, upper half plane, little Hankel operators, finite rank operators, essentially bounded functions.

measurable functions on  $\mathbb{U}_+$ . Define for  $\varphi \in L^\infty(\mathbb{U}_+)$ ,

$$\|\varphi\|_\infty = \text{ess sup}_{s \in \mathbb{U}_+} |\varphi(s)| < \infty.$$

The space  $L^\infty(\mathbb{U}_+)$  is a Banach space with respect to the essential supremum norm. Let  $H^\infty(\mathbb{U}_+)$  be the space of all bounded analytic functions on  $\mathbb{U}_+$ . For  $\varphi \in L^\infty(\mathbb{U}_+)$ , we define the Toeplitz operator  $T_\varphi$  on  $L_a^2(\mathbb{U}_+)$  by  $T_\varphi f = P_+(\varphi f)$ . The Toeplitz operator  $T_\varphi$  is bounded and  $\|T_\varphi\| \leq \|\varphi\|_\infty$ . For more details, see [3]. The big Hankel operator  $H_\varphi$  from  $L_a^2(\mathbb{U}_+)$  into  $(L_a^2(\mathbb{U}_+))^\perp$  is defined by  $H_\varphi f = (I - P_+)(\varphi f)$ ,  $f \in L_a^2(\mathbb{U}_+)$ . The little Hankel operator  $h_\varphi$  from  $L_a^2(\mathbb{U}_+)$  into  $(\overline{L_a^2(\mathbb{U}_+)}) = \{\bar{f} : f \in L_a^2(\mathbb{U}_+)\}$  is defined by  $h_\varphi f = \overline{P_+(\varphi f)}$ , where  $\overline{P_+}$  is the orthogonal projection from  $L^2(\mathbb{U}_+, dA)$  onto  $\overline{L_a^2(\mathbb{U}_+)}$ . For  $\psi \in L^\infty(\mathbb{U}_+)$ , define the operator  $S_\psi : L_a^2(\mathbb{U}_+) \rightarrow L_a^2(\mathbb{U}_+)$  as  $S_\psi f = P_+ J(\psi f)$ , where  $J : L^2(\mathbb{U}_+, d\tilde{A}) \rightarrow L^2(\mathbb{U}_+, d\tilde{A})$  is defined by  $Jf(s) = f(-\bar{s})$ . The operator  $S_\psi$  is unitarily equivalent to  $h_\varphi$  for some  $\varphi \in L^\infty(\mathbb{U}_+)$ . Hence both operators  $h_\varphi$  and  $S_\psi$  are referred to as little Hankel operator on  $L_a^2(\mathbb{U}_+)$ . For  $g \in L^\infty(\mathbb{D})$ , the little Hankel operator  $\tilde{\Gamma}_g : L_a^2(\mathbb{D}) \rightarrow L_a^2(\mathbb{D})$  with symbol  $g$  is defined by  $\tilde{\Gamma}_g f = PJ(gf)$ ,  $f \in L_a^2(\mathbb{D})$ , where  $P$  is the orthogonal projection from  $L^2(\mathbb{D}, dA)$  onto  $L_a^2(\mathbb{D})$  and  $J : L^2(\mathbb{D}, dA) \rightarrow L^2(\mathbb{D}, dA)$  is defined by  $Jf(z) = f(\bar{z})$ . For details, see [7].

Define  $M : \mathbb{U}_+ \rightarrow \mathbb{D}$  by  $M(s) = \frac{i-s}{i+s} = z$ . Then  $M$  is one-to-one and onto, and  $M^{-1} : \mathbb{D} \rightarrow \mathbb{U}_+$  is given by  $M^{-1}(z) = i\frac{1-z}{1+z}$ . Thus  $M$  is its self inverse. Furthermore,  $M'(s) = \frac{-2i}{(i+s)^2}$  and  $(M^{-1})'(z) = \frac{-2i}{(1+z)^2}$ . Let  $W : L_a^2(\mathbb{D}) \rightarrow L_a^2(\mathbb{U}_+)$  be defined by  $(Wg)(s) = g(Ms) \frac{(2i)}{\sqrt{\pi}(i+s)^2}$ . The map  $W$  is one-to-one and onto. Hence  $W^{-1}$  exists and  $W^{-1} : L_a^2(\mathbb{U}_+) \rightarrow L_a^2(\mathbb{D})$  is given by  $(W^{-1}G)(z) = (2i)\sqrt{\pi}G(M^{-1}(z)) \frac{1}{(1+z)^2}$ .

In 1881, Kronecker [4, 5] showed that the matrix  $L = (a_{i+j})_{i,j=0}^\infty$  is of finite rank  $n$  if and only if  $r(z) = a_0 z^{-1} + a_1 z^{-2} + \dots$ , is a rational function of  $z$ , and in this case,  $n$  is the number of poles of  $r(z)$ . That is, in the Hardy space  $H^2(\mathbb{T})$ , a Hankel operator,  $H_\varphi$ , is of finite rank if and only if  $\varphi = z\bar{u}h$ , where  $u$  is a finite Blaschke product and  $h \in H^\infty(\mathbb{T})$ . In this case, the rank of  $S$  is no greater than the number of zeros of  $u$  counted with multiplicity. Das [2] showed that if  $\psi \in L^\infty(\mathbb{D})$  and the little Hankel operator  $S_\psi$  is of finite rank, then  $\psi = \varphi + \chi$ , where  $\chi \in \left(\overline{L_a^2(\mathbb{D})}\right)^\perp \cap L^\infty(\mathbb{D})$  and  $\bar{\varphi}$  is a linear combination of the Bergman kernels and some of its derivatives. In this article, we have extended the result of [2] to characterize finite rank little Hankel operators defined on  $L_a^2(\mathbb{U}_+)$ .

The organization of the article is as follows. In section 2, we introduce the elementary functions  $d_{\bar{w}}(s)$  and  $D_{\bar{w}}(s)$  and discuss some properties of these functions. We show that  $D_{\bar{w}} \in L^\infty(\mathbb{U}_+)$  and that  $S_{D_{\bar{w}}}$  is a rank-one operator. We also relate little Hankel operators defined on  $L_a^2(\mathbb{D})$  and  $L_a^2(\mathbb{U}_+)$  and prove that they are unitarily equivalent, and the symbol correspondence is obtained. In section

3, we show that if  $S_{\overline{G}}$  is of finite rank, then  $G = \sum_{i=1}^n \sum_{\nu=0}^{r_i-1} C_{i\nu} \frac{\partial^\nu}{\partial \overline{w}_i^\nu} D_{\overline{w}_i}$ , for some constants  $C_{i\nu}, i = 1, 2, \dots, n$  and  $\nu = 0, \dots, r_i - 1$ . That is, if  $S_{\overline{G}}$  is a finite rank little Hankel operator, then  $G$  is a linear combination of  $d_{\overline{w}}, w \in \mathbb{U}_+$  and some of their derivatives.

## 2. PRELIMINARIES

In this section, we introduce the elementary functions  $d_{\overline{w}}(s)$  and  $D_{\overline{w}}(s)$  and discuss some properties of these functions. We show that  $D_{\overline{w}} \in L^\infty(\mathbb{U}_+)$  and that  $S_{D_{\overline{w}}}$  is a rank-one operator. We also relate little Hankel operators defined on  $L_a^2(\mathbb{D})$  and  $L_a^2(\mathbb{U}_+)$  and prove that they are unitarily equivalent and the symbol correspondence is obtained.

For  $s, w \in \mathbb{U}_+$ , define  $d_{\overline{w}}(s) = \frac{1}{\sqrt{\pi}} \frac{w+i}{\overline{w}-i} \frac{(-2i)Im w}{(s+w)^2}$ . If  $w = i \frac{1-\overline{a}}{1+\overline{a}} \in \mathbb{U}_+$ , then  $\overline{a} \in \mathbb{D}$  and  $\overline{a} = \frac{i-w}{i+w} = Mw$ . That is,  $M^{-1}\overline{a} = w$ . Then

$$\begin{aligned}
d_{\overline{w}}(-\overline{w}) &= \frac{1}{\sqrt{\pi}} \frac{w+i}{\overline{w}-i} \frac{(-2i)(Im w)}{(-\overline{w}+w)^2} \\
&= \frac{(-2i)}{\sqrt{\pi}} \frac{M^{-1}\overline{a}+i}{M^{-1}\overline{a}-i} \frac{Im w}{(w-\overline{w})^2} \\
&= \frac{(-2i)}{\sqrt{\pi}} \frac{i \frac{1-\overline{a}}{1+\overline{a}} + i}{\left(i \frac{1-\overline{a}}{1+\overline{a}}\right) - i} \frac{w-\overline{w}}{(2i)(w-\overline{w})^2} \\
&= -\frac{1}{\sqrt{\pi}} \frac{i \left[\frac{1-\overline{a}}{1+\overline{a}} + 1\right]}{\left[-i \frac{1-\overline{a}}{1+\overline{a}} - i\right]} \frac{1}{w-\overline{w}} \\
&= \frac{1}{\sqrt{\pi}} \frac{2}{1+\overline{a}} \frac{1+a}{2} \frac{1}{i \frac{1-\overline{a}}{1+\overline{a}} + i \frac{1-\overline{a}}{1+\overline{a}}} \\
&= \frac{1}{\sqrt{\pi}} \frac{1+a}{(1+\overline{a})} \frac{(1+\overline{a})(1+a)}{i[(1-\overline{a})(1+a) + (1-a)(1+\overline{a})]} \\
&= \frac{1}{i\sqrt{\pi}} \frac{(1+a)^2}{[1+a-\overline{a} - |a|^2 + 1+\overline{a}-a - |a|^2]} \\
&= \frac{1}{i\sqrt{\pi}} \frac{(1+a)^2}{2(1-|a|^2)} \\
&= \frac{1}{(2i)\sqrt{\pi}} \frac{(1+a)^2}{(1-|a|^2)}.
\end{aligned}$$

Now

$$\begin{aligned}
d_{\overline{w}}(s)d_{\overline{w}}(-\overline{w}) &= \frac{(-2i)}{\sqrt{\pi}} \frac{w+i}{\overline{w}-i} \frac{Im w}{(s+w)^2} \frac{1}{(2i)\sqrt{\pi}} \frac{(1+a)^2}{1-|a|^2} \\
&= \frac{(-2i)}{\sqrt{\pi}} \left( \frac{i \frac{1-\overline{a}}{1+\overline{a}} + i}{-i \frac{1-\overline{a}}{1+\overline{a}} - i} \right) \frac{\left(\frac{w-\overline{w}}{2i}\right)}{\left(s + i \frac{1-\overline{a}}{1+\overline{a}}\right)^2} \frac{1}{(2i)\sqrt{\pi}} \frac{(1+a)^2}{1-|a|^2}
\end{aligned}$$

$$\begin{aligned}
&= \frac{(-2i)}{\sqrt{\pi}} \frac{\left(\frac{1-\bar{a}}{1+a} + 1\right) \left[\left(i\frac{1-\bar{a}}{1+a}\right) - \left(-i\frac{1-a}{1+a}\right)\right] (1+\bar{a})^2}{-\left(\frac{1-a}{1+a} + 1\right) (2i)[s(1+\bar{a}) + i(1-\bar{a})]^2} \frac{1}{(2i)\sqrt{\pi}} \frac{(1+a)^2}{1-|a|^2} \\
&= \frac{1}{(2i)\pi} \left(\frac{\frac{1-\bar{a}+1+a}{1+\bar{a}}}{\frac{1-a+1+a}{1+a}}\right) \frac{i\left[\frac{1-\bar{a}}{1+a} + \frac{1-a}{1+a}\right]}{[s(1+\bar{a}) + i(1-\bar{a})]^2} \frac{(1+a)^2}{1-|a|^2} (1+\bar{a})^2 \\
&= \frac{1}{2\pi} \frac{1+a}{1+\bar{a}} \frac{(1+a)^2}{(1-|a|^2)} \frac{2(1-|a|^2)}{(1+a)(1+\bar{a})} \frac{(1+\bar{a})^2}{[s(1+\bar{a}) + i(1-\bar{a})]^2} \\
&= \frac{1}{\pi} \left(\frac{1+a}{1+\bar{a}}\right)^2 \frac{(1+\bar{a})^2}{[i+s+\bar{a}(s-i)]^2} \\
&= \frac{1}{\pi} \left(\frac{1+a}{1+\bar{a}}\right)^2 \frac{(1+\bar{a})^2}{[i+s-\bar{a}(i-s)]^2} \\
&= \frac{1}{\pi} \left(\frac{1+a}{1+\bar{a}}\right)^2 \frac{(1+\bar{a})^2}{(i+s)^2 \left[1-\bar{a}\left(\frac{i-s}{i+s}\right)\right]^2} \\
&= \frac{1}{\pi} \frac{(1+a)^2}{(i+s)^2} \frac{1}{(1-\bar{a}Ms)^2} \\
&= D(s, w) \\
&= D_{\bar{w}}(s).
\end{aligned}$$

Hence,  $d_{\bar{w}}(s) = \frac{D(s, w)}{d_{\bar{w}}(-\bar{w})}$  and  $(d_{\bar{w}}(-\bar{w}))^2 = D(\bar{w}, w)$ . Now

$$\begin{aligned}
\|D_{\bar{w}}\|^2 &= \langle D_{\bar{w}}, D_{\bar{w}} \rangle \\
&= \int_{\mathbb{U}_+} |D_{\bar{w}}(s)|^2 d\tilde{A}(s) \\
&= \int_{\mathbb{U}_+} |D(s, w)|^2 d\tilde{A}(s) \\
&= \int_{\mathbb{U}_+} |d_{\bar{w}}(-\bar{w})|^2 |d_{\bar{w}}(s)|^2 d\tilde{A}(s) \\
&= |d_{\bar{w}}(-\bar{w})|^2 \int_{\mathbb{U}_+} |d_{\bar{w}}(s)|^2 d\tilde{A}(s) \\
&= |d_{\bar{w}}(-\bar{w})|^2 \|d_{\bar{w}}\|_2^2 \\
&= |d_{\bar{w}}(-\bar{w})|^2 \quad \text{since } \|d_{\bar{w}}\|_2 = 1.
\end{aligned}$$

Thus

$$\|D_{\bar{w}}\| = |d_{\bar{w}}(-\bar{w})| \text{ and } |d_{\bar{w}}(s)| \|D_{\bar{w}}\| = |D_{\bar{w}}(s)|. \text{ Furthermore, } D_{\bar{w}} \in L^\infty(\mathbb{U}_+).$$

**Lemma 2.1.** *If  $\psi \in L^\infty(\mathbb{U}_+)$ , then the little Hankel operator  $S_\psi$  defined on  $L_a^2(\mathbb{U}_+)$  with symbol  $\psi$  is unitarily equivalent to the little Hankel operator  $\tilde{\Gamma}_g$  defined on  $L_a^2(\mathbb{D})$  with symbol  $g(z) = (\psi \circ M^{-1})(z) \left(\frac{1+z}{1+\bar{z}}\right)^2$ .*

*Proof.* The operator  $W$  maps  $z^n \sqrt{n+1}$  to the function  $\frac{2i}{\sqrt{\pi}} (Ms)^n \sqrt{n+1} \frac{1}{(i+s)^2} = \frac{2i}{\sqrt{\pi}} \left(\frac{i-s}{i+s}\right)^n \sqrt{n+1} \frac{1}{(i+s)^2}$ , which belongs to  $L_a^2(\mathbb{U}_+)$ .

Now

$$\begin{aligned}
& S_\psi \left( \frac{2i}{\sqrt{\pi}} \left( \frac{i-s}{i+s} \right)^n \sqrt{n+1} \frac{1}{(i+s)^2} \right) \\
&= P_+ \left( J \left( \psi(s) \frac{2i}{\sqrt{\pi}} \left( \frac{i-s}{i+s} \right)^n \sqrt{n+1} \frac{1}{(i+s)^2} \right) \right) \\
&= P_+ \left( \psi(-\bar{s}) \frac{2i}{\sqrt{\pi}} \sqrt{n+1} \left( \frac{i+\bar{s}}{i-\bar{s}} \right)^n \frac{1}{(i-\bar{s})^2} \right) \\
&= WPW^{-1} \left( \psi(-\bar{s}) \frac{2i}{\sqrt{\pi}} \sqrt{n+1} \left( \frac{i+\bar{s}}{i-\bar{s}} \right)^n \frac{1}{(i-\bar{s})^2} \right) \\
&= WP \left( (2i)\sqrt{\pi} \frac{2i}{\sqrt{\pi}} \sqrt{n+1} \psi \left( -M^{-1}(z) \right) \left( \frac{i+\overline{M^{-1}(z)}}{i-\overline{M^{-1}(z)}} \right)^n \frac{1}{(i-\overline{M^{-1}(z)})^2} \frac{1}{(1+z)^2} \right) \\
&= WP \left( (-4)\sqrt{n+1} \psi \left( i \frac{1-\bar{z}}{1+\bar{z}} \right) \left( \frac{i-i\frac{1-\bar{z}}{1+\bar{z}}}{i+i\frac{1-\bar{z}}{1+\bar{z}}} \right)^n \frac{1}{(i+i\frac{1-\bar{z}}{1+\bar{z}})^2} \frac{1}{(1+z)^2} \right) \\
&= WP \left( (-4)\sqrt{n+1} \psi \left( i \frac{1-\bar{z}}{1+\bar{z}} \right) \left( \frac{1-\frac{1-\bar{z}}{1+\bar{z}}}{1+\frac{1-\bar{z}}{1+\bar{z}}} \right)^n \frac{-1}{(1+\frac{1-\bar{z}}{1+\bar{z}})^2} \frac{1}{(1+z)^2} \right) \\
&= WP \left( (-4)\sqrt{n+1} \psi \left( i \frac{1-\bar{z}}{1+\bar{z}} \right) \left( \frac{2\bar{z}}{2} \right)^n (-1) \frac{(1+\bar{z})^2}{4} \frac{1}{(1+z)^2} \right) \\
&= WP \left( \sqrt{n+1} \psi \left( i \frac{1-\bar{z}}{1+\bar{z}} \right) (\bar{z})^n \left( \frac{1+\bar{z}}{1+z} \right)^2 \right) \\
&= WP \left( J \left( \sqrt{n+1} z^n \psi \left( i \frac{1-z}{1+z} \right) \left( \frac{1+z}{1+\bar{z}} \right)^2 \right) \right) \\
&= W\tilde{\Gamma}_{\psi \left( i \frac{1-\bar{z}}{1+\bar{z}} \right) \left( \frac{1+\bar{z}}{1+\bar{z}} \right)^2} (z^n \sqrt{n+1}) \\
&= W\tilde{\Gamma}_{(\psi \circ M^{-1})(z) \left( \frac{1+\bar{z}}{1+\bar{z}} \right)^2} (z^n \sqrt{n+1}).
\end{aligned}$$

Thus  $S_\psi W = W\tilde{\Gamma}_g$ , where  $g(z) = (\psi \circ M^{-1})(z) \left( \frac{1+z}{1+\bar{z}} \right)^2$ . Since the sequence of vectors  $\{\sqrt{n+1}z^n\}_{n=0}^\infty$  forms an orthonormal basis for  $L_a^2(\mathbb{D})$ , this proves our claim. Thus the little Hankel operator  $S_\psi$  defined on  $L_a^2(\mathbb{U}_+)$  with symbol  $\psi$  is unitarily equivalent to the little Hankel operator  $\tilde{\Gamma}_g$  defined on  $L_a^2(\mathbb{D})$ .  $\square$

**Lemma 2.2.** *Let  $S_{\overline{D_w}}$  be the little Hankel operator defined on  $L_a^2(\mathbb{U}_+)$  with symbol  $\overline{D_w}$ . Then  $S_{\overline{D_w}} = D_{\bar{w}} \otimes D_w$ .*

*Proof.* Let  $f, g \in L_a^2(\mathbb{U}_+)$  and let  $\bar{a} = Mw \in \mathbb{D}$ . Also let  $f = Wf_1$  and  $g = Wg_1$ ,  $f_1, g_1 \in L_a^2(\mathbb{D})$ . Then

$$\begin{aligned}
\langle (D_{\bar{w}} \otimes D_w)f, g \rangle &= \langle \langle f, D_w \rangle D_{\bar{w}}, g \rangle \\
&= \langle f, D_w \rangle \langle D_{\bar{w}}, g \rangle \\
&= \langle Wf_1, WK_{\bar{a}} \rangle \langle WK_a, Wg_1 \rangle \\
&= \langle Wf_1, WK_{\bar{a}} \rangle \overline{\langle Wg_1, WK_a \rangle} \\
&= f_1(\bar{a}) \overline{g_1(a)}.
\end{aligned}$$

Now let  $g^+(z) = \overline{g(\bar{z})}$ . Then for polynomials  $f$  and  $g$  in  $L_a^2(\mathbb{U}_+)$  on  $w$ , we have

$$\begin{aligned} \langle S_{\overline{D_w}} f, g \rangle &= \langle P_+ J(\overline{D_w} f), g \rangle \\ &= \langle \overline{D_w} f, Jg \rangle \\ &= \langle f g^+, D_w \rangle \\ &= \langle W(f_1 g_1^+), W K_{\bar{a}} \rangle \\ &= \langle f_1 g_1^+, K_{\bar{a}} \rangle \\ &= f_1(\bar{a}) g_1(a). \end{aligned}$$

□

**Lemma 2.3** (see [6]). *Let  $f$  be a linear functional defined on a vector space  $V$ , and let  $f_1, f_2, \dots, f_n$  be linear functionals on  $V$ . If  $\ker f \supseteq \bigcap_{i=1}^n \ker f_i$ , then*

$$f = \sum_{i=1}^n \lambda_i f_i, \text{ where } \lambda_i \text{'s are complex numbers.}$$

### 3. CHARACTERIZATION OF FINITE RANK LITTLE HANKEL OPERATORS

In this section, we show that if  $S_{\overline{G}}$  is of finite rank, then

$$G = \sum_{i=1}^n \sum_{\nu=0}^{r_i-1} C_{i\nu} \frac{\partial^\nu}{\partial \overline{w_i}^\nu} D_{\overline{w_i}}$$

for some constants  $C_{i\nu}, i = 1, 2, \dots, n$  and  $\nu = 0, \dots, r_i - 1$ . That is, if  $S_{\overline{G}}$  is a finite rank little Hankel operator, then  $G$  is a linear combination of  $d_{\overline{w}}, w \in \mathbb{U}_+$  and some of their derivatives.

**Theorem 3.1.** *Let  $\overline{G} \in L^\infty(\mathbb{U}_+)$ , where  $G$  is analytic on  $\mathbb{U}_+$  and let  $S_{\overline{G}}$  be the little Hankel operator defined on  $L_a^2(\mathbb{U}_+)$  with symbol  $\overline{G}$ . If  $S_{\overline{G}}$  is of finite rank,*

$$\text{then } G = \sum_{i=1}^n \sum_{\nu=0}^{r_i-1} C_{i\nu} \frac{\partial^\nu}{\partial \overline{w_i}^\nu} D_{\overline{w_i}}.$$

*Proof.* The little Hankel operator  $S_{\overline{G}}$  on  $L_a^2(\mathbb{U}_+)$  is unitarily equivalent to the little Hankel operator  $\tilde{\Gamma}_{\tilde{g}}$  defined on  $L_a^2(\mathbb{D})$ , where  $\tilde{g}(z) = (\overline{G} \circ M^{-1})(z) \left(\frac{1+z}{1+\bar{z}}\right)^2$ . Now since  $T_{\bar{z}} \tilde{\Gamma}_{\tilde{g}} = \tilde{\Gamma}_{\tilde{g}} T_z$ , it follows that  $\ker \tilde{\Gamma}_{\tilde{g}}$  is an invariant subspace of  $T_z$ . Since the rank of  $\tilde{\Gamma}_{\tilde{g}}$  is finite, it follows from [1] that

$$\ker \tilde{\Gamma}_{\tilde{g}} = \theta L_a^2(\mathbb{D}) = (z - a_1)^{r_1} (z - a_2)^{r_2} \cdots (z - a_n)^{r_n} L_a^2(\mathbb{D}) = q L_a^2(\mathbb{D}),$$

where  $\theta$  is a finite Blaschke product and  $a_i$  are the zeros of  $\theta$  counted according to their multiplicities  $r_i (1 \leq i \leq n)$  and  $\sum_{i=1}^n r_i$  is the rank of  $\tilde{\Gamma}_{\tilde{g}}$  and

$q(z) = (z - a_1)^{r_1} (z - a_2)^{r_2} \cdots (z - a_n)^{r_n}$ . Define on the space  $\mathcal{P}$  of polynomials, the linear functional  $\hat{\phi}$  by  $\hat{\phi}(p) = \langle \tilde{\Gamma}_{\tilde{g}} p, 1 \rangle, p \in \mathcal{P}$ . Note that  $q\mathcal{P} \subseteq$

$\{p : p(a_i) = 0, i = 1, 2, \dots, n, p \in \mathcal{P}\}$ . For  $r \in \mathbb{N}$  and  $f \in L_a^2(\mathbb{D})$ , we have  $f^{(r)}(a) = \langle f, K_{a,r} \rangle$ , where  $K_{a,r} = \frac{\partial^r}{\partial a^r} K_a, a \in \mathbb{D}$ . Using this and the fact that  $\langle \tilde{\Gamma}_{K_{a,r}p}, 1 \rangle = \langle p, K_{a,r} \rangle$ , it follows that  $(z-a)^r p(z) \in \ker \tilde{\Gamma}_{K_{a,r-1}}$ . Thus  $\ker \hat{\phi} \supset \bigcap_{i=1}^n \ker \tilde{\Gamma}_{K_{a_i, r_i-1}}$ .

Using Lemma 2.3, we obtain

$$\hat{\phi} = \sum_{i=1}^n \beta_{i\nu} \tilde{\Gamma}_{K_{a_i, r_i-1}} = \sum_{i=1}^n \sum_{\nu=0}^{r_i-1} \beta_{i\nu} \tilde{\Gamma}_{\frac{\partial^\nu}{\partial a_i^\nu} K_{a_i}}.$$

Since  $\langle \tilde{\Gamma}_{\bar{g}} f, h^+ \rangle = \langle \tilde{\Gamma}_{\bar{g}} f h, 1 \rangle, f, h, fh \in L_a^2(\mathbb{D})$ , and  $\{z^n : n \geq 0\}$  is dense in  $L_a^2(\mathbb{D})$ , it follows  $g = \sum_{i=1}^n \beta_i K_{a_i, r_i-1}$ . Thus since  $W^{-1} S_{\bar{G}} W = \tilde{\Gamma}_{\bar{g}}$ , hence

$$G = \sum_{i=1}^n \sum_{\nu=0}^{r_i-1} C_{i\nu} \frac{\partial^\nu}{\partial w_i^\nu} D_{\bar{w}_i}.$$

□

**Corollary 3.2.** *If  $\psi \in L^\infty(\mathbb{U}_+)$  and  $S_\psi$  is a finite rank little Hankel operator on  $L_a^2(\mathbb{U}_+)$ , then  $\psi = \varphi + \chi$ , where  $\chi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp \cap L^\infty(\mathbb{U}_+)$  and*

$$\bar{\varphi} = \sum_{i=1}^n \sum_{\nu=1}^{m_i-1} \beta_{i\nu} \frac{\partial^\nu}{\partial \bar{w}_i^\nu} d_{\bar{w}_i}.$$

*Proof.* The result follows from the fact that  $S_\chi \equiv 0$  if and only if  $\chi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp$ . This can be verified as follows: If  $S_\chi = 0$ , then  $S_\chi f = P_+ J(\chi f) = 0$  for all  $f \in L_a^2(\mathbb{U}_+)$ . Hence  $J(\chi f) \in (L_a^2(\mathbb{U}_+))^\perp$  or  $\chi f \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp$  for all  $f \in L_a^2(\mathbb{U}_+)$ . Thus  $\langle \chi f, \bar{g} \rangle = 0$  for all  $g \in H^\infty(\mathbb{U}_+)$ . Therefore  $\langle \chi, \bar{f}\bar{g} \rangle = 0$  for all  $g \in H^\infty(\mathbb{U}_+)$ . Thus we get  $\langle \chi, \bar{h} \rangle = 0$  for all  $h \in L_a^2(\mathbb{U}_+)$ , and hence  $\chi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp$ . Similarly one can show that if  $\chi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp \cap L^\infty(\mathbb{U}_+)$ , then  $S_\chi \equiv 0$ . □

Now let  $\psi \in L^\infty(\mathbb{U}_+)$  and let  $\psi = \varphi + \chi$ , where

$$\varphi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right), \chi \in \left(\overline{L_a^2(\mathbb{U}_+)}\right)^\perp \cap L^\infty(\mathbb{U}_+).$$

Then  $S_\chi \equiv 0$ . Thus  $S_\psi = S_\varphi$ , where  $\bar{\varphi}$  is a linear combination of  $d_{\bar{w}_i}$ , and some of its derivatives. From the proof of Theorem 3.1, it follows that  $W^{-1} S_\varphi W = \tilde{\Gamma}_\theta$ , where  $\theta$  is a linear combination of the Bergman kernels  $K_{\alpha_i}$  and some of its derivatives  $K_{\alpha_i, r_i}$ . Note that  $\tilde{\Gamma}_\theta$  is a little Hankel operator on  $L_a^2(\mathbb{D})$  with  $\ker \tilde{\Gamma}_\theta = GL_a^2(\mathbb{D})$  for some inner functions  $G \in L_a^2(\mathbb{D})$  and the space  $(GL_a^2)^\perp$  is finite-dimensional. For proof, see [2]. It thus follows that  $\ker S_\varphi$  has also finite-codimensional and the operator  $S_\psi = S_\varphi$  is of finite rank.

## REFERENCES

1. S. Axler and P. Bourdon, *Finite codimensional invariant subspaces of Bergman spaces*, Trans. Amer. Math. Soc. **31** (1988), no. 2, 805–817.
2. N. Das, *The kernel of a Hankel operator on the Bergman space*, Bull. Lond. Math. Soc. **31** (1999) 75–80.
3. S. Elliott and A. Wynn, *Composition operators on weighted Bergman spaces of a half plane*, Proc. Edinb. Math. Soc. **54** (2011) 373–379.
4. V.V. Peller, *Hankel Operators and Their Applications*, Springer-Verlag, New York, 2003.
5. S.C. Power, *Hankel Operators on Hilbert Space*, Pitman, Boston-London, 1982.
6. W. Rudin, *Functional Analysis*, McGraw-Hill, New York, 1991.
7. K. Zhu, *Operator Theory in Function Spaces*, Marcell Dekker, New York, 1990.

<sup>1</sup>DEPARTMENT OF MATHEMATICS, UTKAL UNIVERSITY, VANI VIHAR, BHUBANESWAR-751004, ODISHA, INDIA.

*Email address:* namitadas440@yahoo.co.in; sworup.math@gmail.com