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# LIE IDEALS AND GENERALIZED DERIVATIONS IN PRIME RINGS AND BANACH ALGEBRAS 

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#### Abstract

Let $R$ be a prime ring and let $L$ be a noncentral Lie ideal of $R$. The main purpose of this paper is to describe generalized derivations of $R$ satisfying some algebraic identities on $L$. Moreover, using a topological approach based on Baire's category theorem and some properties of functional analysis, our results have been extended to Banach algebras.


## 1. Introduction

Rings considered in this paper are associative and not necessarily unitary. For a ring $R$, we shall use $Z(R)$ to stand for the center of $R$. An ideal $P$ of $R$ is a prime ideal if $x R y \subseteq P$ yields $x \in P$ or $y \in P$. In particular, if the zero ideal of $R$ is prime, then $R$ is said to be a prime ring. For any $x, y \in R$, we will write $[x, y]=x y-y x$ and $x \circ y=x y+y x$ for the Lie product and Jordan product, respectively. An additive subgroup $L$ of $R$ is said to be a Lie ideal of $R$ if $[x, r] \in L$ for all $x \in L$ and $r \in R$. An additive mapping $d: R \longrightarrow R$ is a derivation if $d(x y)=d(x) y+x d(y)$ for all $x, y \in R$. An additive mapping $F: R \longrightarrow R$ is a generalized derivation associated to a derivation $d$ if $F(x y)=F(x) y+x d(y)$ for all $x, y \in R$. A Banach algebra is a normed algebra whose underlying vector space is a Banach space. The closure of a subset $X$ of a Banach algebra $\mathcal{A}$, denoted by $\bar{X}$, is the intersection of all closed subsets of $\mathcal{A}$ containing $X$. The interior of a subset $X$ of a Banach algebra $\mathcal{A}$, denoted by $\stackrel{\circ}{X}$, is the largest open set contained in $X$. Equivalently, $\stackrel{\circ}{X}$ is the union of all open subsets of $\mathcal{A}$ contained in $X$.

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Numerous results in literature show how the global structure of a ring $R$ is often tightly linked to the behavior of some special additive mappings defined on $R$. A popular result in this area is due to Posner [11] who proved that a prime ring equipped with a nonzero centralizing derivation is a commutative integral domain. This remarkable theorem of Posner has been influential, and it has played a key role in the development of various notions. This result was subsequently refined and extended by a number of algebraists. More specifically, they studied the commutativity of rings admitting suitably constrained generalized derivation verifying specific identities.

In [7, Theorem 2.7], it is demonstrated that if $R$ is a prime ring of characteristic different from two, admitting two generalized derivations $F_{1}$ and $F_{2}$ such that $F_{1}(x) F_{2}(x)+F_{2}(x) F_{1}(x)=0$ for all $x \in R$, then $F_{1}=0$ or $F_{2}=0$. An interesting result proved in [9, Theorem 2] by Hvala states that if $F_{1}$ and $F_{2}$ are two generalized derivations on a prime ring $R$ of characteristic different from two, verifying $\left[F_{1}(x), F_{2}(x)\right]=0$ for all $x \in R$, then there exists $\lambda \in C$ such that $F_{1}(x)=\lambda F_{2}(x)$ for all $x \in R$. Later, Demir, De Filippis, and Argaç [6] managed to get the same classification by only considering the main identity on a noncentral Lie ideal of a prime ring $R$, except possibly when $R$ satisfies the standard identity $s_{4}$ of degree 4.

In $[1$, Theorem 3.1], it was shown that if $\mathcal{A}$ is a unital prime Banach algebra, $F$ is a nonzero continuous generalized derivation with associated derivation $d$, and $G_{1}$ and $G_{2}$ are two nonvoid open subsets of $\mathcal{A}$ satisfying $F\left((x y)^{m}\right)-x^{m} y^{m} \in Z(\mathcal{A})$ or $F\left((x y)^{m}\right)-y^{m} x^{m} \in Z(\mathcal{A})$ for all $(x, y) \in G_{1} \times G_{2}$ and $m=m(x, y)>1$, then $\mathcal{A}$ is commutative under the additional assumption that $d(Z(\mathcal{A})) \neq 0$.

Motivated by the previous results, we here continue this line of investigation by describing generalized derivations satisfying some specific identities on a noncentral Lie ideal of a prime ring. Moreover, as an application, we study continuous generalized derivations satisfying similar algebraic identities locally on nonvoid open subsets of a prime Banach algebra $\mathcal{A}$. Our topological approach is based on Baire's category theorem and some properties of functional analysis.

## 2. Generalized derivations acting on Lie ideals

Before starting our results, we collect some well-known facts, which will be used frequently.

Fact 2.1. ([4, Main Theorem]). Let $R$ be a prime ring of characteristic different from 2, let $L$ be a noncentral Lie ideal of $R$, and let $F$ be a generalized derivation of $R$ such that $F(x) \in Z(R)$ for any $x \in L$. Then either $F=0$ or $R$ embeds in a $2 \times 2$ matrix ring over a field.

Fact 2.2. Let $R$ be a noncommutative prime ring of characteristic different from 2 and let $F$ be a generalized derivation of $R$ such that $F(x) \in Z(R)$ for any $x \in R$. Then $F=0$.

Fact 2.3. ([2, Lemma 2]). Let $R$ be a prime ring of characteristic different from 2 , let $L$ be a Lie ideal of $R$, and let $C_{R}(L)=\{a \in R:[a, x]=0 \forall x \in L\}$. If $L$ is not central, then $C_{R}(L)=Z(R)$.

Fact 2.4. ([6]). Let $R$ be a prime ring of characteristic different from 2, let $U$ be its right Utumi quotient ring, let $C$ be its extended centroid, and let $L$ be a noncentral Lie ideal of $R$. Let $F: R \rightarrow R$ and $G: R \rightarrow R$ be nonzero generalized derivations on $R$. If $[F(u), G(u)]=0$ for all $u \in L$, then one of the following conditions holds:
(1) There exists $\lambda \in C$ such that, for any $x \in R, G(x)=\lambda F(x)$;
(2) $R$ satisfies $s_{4}$, the standard identity of degree 4 .

Lemma 2.5. Let $R$ be a prime ring of characteristic different from 2, let $L$ be a noncentral Lie ideal of $R$, and let $F$ and $G$ be generalized derivations of $R$ such that $F(x) y+y G(x)=0$ for all $x, y \in L$. Then either $F=G=0$ or $R$ embeds in a $2 \times 2$ matrix ring over a field.

Proof. Suppose that $R$ does not embed in a $2 \times 2$ matrix ring over a field and that

$$
\begin{equation*}
F(x) y+y G(x)=0 \quad \text { for all } x, y \in L \tag{2.1}
\end{equation*}
$$

By [2, Lemma 1], there exists a nonzero ideal $I$ of $R$ such that $[I, R] \subseteq L$. Replacing $y$ by $[u, r]$ in (2.1) with $u \in I, r \in R$, we have

$$
\begin{equation*}
F(x)[u, r]+[u, r] G(x)=0 \quad \text { for all } u \in I, x \in L, r \in R \tag{2.2}
\end{equation*}
$$

Substituting $u$ by $u r$, we get

$$
\begin{equation*}
F(x)[u, r] r+[u, r] r G(x)=0 \quad \text { for all } u \in I, x \in L, r \in R . \tag{2.3}
\end{equation*}
$$

Right multiplying (2.2) by $r$ and subtracting it from (2.3), we get $[u, r][G(x), r]=$ 0 for all $u \in I, x \in L, r \in R$. Taking $u t$ instead of $u$ with $t \in I$, we get

$$
[u, r] I[G(x), r]=0 \quad \text { for all } u \in I, x \in L, r \in R .
$$

Using the primeness of $R$, we obtain $[G(x), r]=0$ for all $x \in L, r \in R$, that is, $G(L) \subseteq Z(R)$. Applying Fact 2.1, we get $G=0$, in which case, (2.1) yields $F=0$.

Theorem 2.6. Let $R$ be a prime ring of characteristic different from 2 and let $L$ be a noncentral Lie ideal of $R$. If $F_{1}, F_{2}$, and $F_{3}$ are generalized derivations of $R$ such that

$$
\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x) \quad \text { for all } x, y \in L
$$

then one of the following conditions holds:
(1) There exist $\lambda, \mu \in C$ such that $F_{2}(x)=\lambda F_{1}(x), F_{3}(x)=\mu F_{1}(x)$ for any $x \in R$;
(2) $R$ embeds in a $2 \times 2$ matrix ring over a field.

Proof. We are given that

$$
\begin{equation*}
\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x) \quad \text { for all } x, y \in L \tag{2.4}
\end{equation*}
$$

Assume that $R$ does not embed in a $2 \times 2$ matrix ring over a field.
Firstly we point out that, if $F_{1}(L) \subseteq Z(R)$, then Fact 2.1 implies $F_{1}=0$ and relation (2.4) reduces to

$$
F_{2}(x) y+y F_{3}(x)=0 \quad \text { for all } x, y \in L
$$

Invoking Lemma 2.5, it follows that $F_{2}=F_{3}=0$.
Thus we may assume that $F_{1}(L) \nsubseteq Z(R)$ and consider $u_{0} \in L$ such that $F_{1}\left(u_{0}\right) \notin Z(R)$. Setting $a=F_{1}\left(u_{0}\right), b=F_{2}\left(u_{0}\right)$ and $c=F_{3}\left(u_{0}\right)$, then relation (2.4) yields

$$
[a, y]=b y+y c \quad \text { for all } y \in L
$$

In particular,

$$
\begin{equation*}
[a,[l, r]]=b[l, r]+[l, r] c \quad \text { for all } l \in L, r \in R . \tag{2.5}
\end{equation*}
$$

Substituting $r$ by $r l$, we get

$$
\begin{equation*}
[a,[l, r]] l+[l, r][a, l]=b[l, r] l+[l, r] l c \quad \text { for all } l \in L, r \in R \tag{2.6}
\end{equation*}
$$

Right multiplying (2.5) by $l$ and comparing with (2.6), we find that $[l, r][a, l]=$ $[l, r][l, c]$ in such a way that

$$
[l, r][a+c, l]=0 \quad \text { for all } l \in L, r \in R
$$

Accordingly, $a+c \in Z(R)$. In particular, this implies that $\left[F_{1}\left(u_{0}\right), F_{3}\left(u_{0}\right)\right]=0$.
Furthermore, setting $l r$ instead of $r$ in (2.5), we get

$$
\begin{equation*}
[a, l][l, r]+l[a,[l, r]]=b l[l, r]+l[l, r] c \quad \text { for all } l \in L, r \in R \tag{2.7}
\end{equation*}
$$

Left multiplying (2.5) by $l$ and subtracting it from (2.7), we obtain $[a-b, l][l, r]=$ 0 , which assures that $a-b \in Z(R)$, and therefore $\left[F_{1}\left(u_{0}\right), F_{2}\left(u_{0}\right)\right]=0$. Hence, in all cases, we have

$$
\left[F_{1}(u), F_{2}(u)\right]=0 \quad \text { and } \quad\left[F_{1}(u), F_{3}(u)\right]=0 \quad \text { for any } u \in L
$$

Applying Fact 2.4, there exist $\lambda, \mu \in C$ such that $F_{2}(x)=\lambda F_{1}(x)$ and $F_{3}(x)=$ $\mu F_{1}(x)$ for any $x \in R$.
Remark 2.7. In the case where $\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x)$ for all $x, y \in R$, one might expect $R$ to be commutative. Instead, this would be false, as the following example proves:

For $K$ a field, let $R=M_{2}(K)$, and let $F$ be a generalized derivation of $R$. It is obvious that $F_{1}=F_{2}=F$ and $F_{3}=-F$ verify the above relation although the ring is not commutative.

Proposition 2.8. Let $R$ be a noncommutative prime ring of characteristic different from 2. If $F_{1}, F_{2}$, and $F_{3}$ are generalized derivations of $R$ such that

$$
\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x), \quad \text { for all } x, y \in R
$$

then $F_{2}=F_{1}$ and $F_{3}=-F_{1}$.
Proof. Assume that

$$
\begin{equation*}
\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x) \quad \text { for all } x, y \in R . \tag{2.8}
\end{equation*}
$$

Taking $y z$ instead of $y$ in (2.8), we get

$$
\begin{equation*}
\left[F_{1}(x), y\right] z+y\left[F_{1}(x), z\right]=F_{2}(x) y z+y z F_{3}(x) \quad \text { for all } x, y, z \in R \tag{2.9}
\end{equation*}
$$

Right multiplying relation (2.8) by $z$ and subtracting it from (2.9), we obtain

$$
y\left[F_{1}(x)+F_{3}(x), z\right]=0, \quad \text { for all } x, y, z \in R,
$$

which assures that $\left(F_{1}+F_{3}\right)(R) \subset Z(R)$. Hence, Fact 2.2 forces $F_{1}=-F_{3}$. Therefore, (2.8) becomes $\left(F_{1}(x)-F_{2}(x)\right) y=0$ for all $x, y \in R$, which forces $F_{1}=F_{2}$.

Theorem 2.9. Let $R$ be a prime ring of characteristic different from 2 and let $L$ be a noncentral Lie ideal of $R$. If $F_{1}, F_{2}$, and $F_{3}$ are generalized derivations of $R$ satisfying

$$
F_{1}(x) \circ y=F_{2}(x) y+y F_{3}(x), \quad \text { for all } x, y \in L,
$$

then one of the following conditions holds:
(1) There exist $\lambda, \mu \in C$ such that $F_{2}(x)=\lambda F_{1}(x), F_{3}(x)=\mu F_{1}(x)$ for any $x \in R$;
(2) $R$ embeds in a $2 \times 2$ matrix ring over a field.

Proof. Assume that $R$ does not embed in a $2 \times 2$ matrix ring over a field and that

$$
\begin{equation*}
F_{1}(x) \circ y=F_{2}(x) y+y F_{3}(x) \quad \text { for all } x, y \in L \tag{2.10}
\end{equation*}
$$

If $F_{1}(L) \subseteq Z(R)$, then Fact 2.1 forces $F_{1}=0$, in which case, (2.10) reduces to

$$
F_{2}(x) y+y F_{3}(x)=0 \quad \text { for all } x, y \in L
$$

Invoking Lemma 2.5, we conclude that $F_{2}=F_{3}=0$.
Now, let us fix an element $u_{0} \in L$ such that $F_{1}\left(u_{0}\right) \notin Z(R)$ and set $a=F_{1}\left(u_{0}\right)$, $b=F_{2}\left(u_{0}\right)$, and $c=F_{3}\left(u_{0}\right)$. In light of relation (2.10), we have

$$
a \circ y=b y+y c \quad \text { for all } y \in L
$$

Therefore

$$
\begin{equation*}
a \circ[l, r]=b[l, r]+[l, r] c \quad \text { for all } l \in L, r \in R . \tag{2.11}
\end{equation*}
$$

Replacing $r$ by $r l$, we get

$$
\begin{equation*}
(a \circ[l, r]) l-[l, r][a, l]=b[l, r] l+[l, r] l c \quad \text { for all } l \in L, r \in R . \tag{2.12}
\end{equation*}
$$

Right multiplying (2.11) by $l$ and subtracting it from (2.12), we arrive at $[l, r][a, l]=$ $[l, r][l, c]$, which yields that

$$
[l, r][a+c, l]=0 \quad \text { for all } l \in L, r \in R .
$$

Accordingly, $a+c \in Z(R)$, and therefore $\left[F_{1}\left(u_{0}\right), F_{3}\left(u_{0}\right)\right]=0$.
On the other hand, taking $l r$ instead of $r$ in (2.11), we get

$$
\begin{equation*}
l(a \circ[l, r])+[a, l][l, r]=b l[l, r]+l[l, r] c \quad \text { for all } l \in L, r \in R . \tag{2.13}
\end{equation*}
$$

Left multiplying (2.11) by $l$ and subtracting it from (2.13), we obtain $[a-$ $b, l][l, r]=0$, which assures that $a-b \in Z(R)$, and thus $\left[F_{1}\left(u_{0}\right), F_{2}\left(u_{0}\right)\right]=0$. Hence, in all cases we find that

$$
\left[F_{1}(u), F_{2}(u)\right]=0 \quad \text { and } \quad\left[F_{1}(u), F_{3}(u)\right]=0 \quad \text { for any } u \in L
$$

Using Fact 2.4, there exist $\lambda, \mu \in C$ such that $F_{2}(x)=\lambda F_{1}(x)$ and $F_{3}(x)=\mu F_{1}(x)$ for any $x \in R$.

Using similar arguments as in the proof of Proposition 2.8, we get the following result.

Proposition 2.10. Let $R$ be a noncommutative prime ring of characteristic different from 2. If $F_{1}, F_{2}$, and $F_{3}$ are generalized derivations of $R$ satisfying

$$
F_{1}(x) \circ y=F_{2}(x) y+y F_{3}(x), \quad \text { for all } x, y \in R,
$$

then $F_{1}=F_{2}=F_{3}$.

## 3. Applications on prime Banach Algebras

Throughout this section, $\mathcal{A}$ denotes a real or complex Banach algebra. To prove our main results we need the following lemma.

Lemma 3.1 ([3]). Let $\mathcal{A}$ be a Banach algebra, if $P(t)=\sum_{k=0}^{n} b_{k} t^{k}$ is a polynomial in the real variable $t$ with coefficients in $\mathcal{A}$, and if for an infinite set of real values of $t, P(t) \in M$, where $M$ is a closed linear subspace of $\mathcal{A}$, then every $b_{k}$ lies in M.

Theorem 3.2. Let $\mathcal{A}$ be a prime Banach algebra, let $O_{1}$ and $O_{2}$ be nonvoid open subsets of $\mathcal{A}$, let $F_{1}, F_{2}$, and $F_{3}$ be nonzero continuous generalized derivations of $\mathcal{A}$, and let $n$ be a fixed positive integer. If

$$
\left[F_{1}(x), y\right]^{n}=F_{2}(x) y+y F_{3}(x), \quad \text { for all }(x, y) \in O_{1} \times O_{2},
$$

then $\mathcal{A}$ is commutative.
Proof. Assume that

$$
\begin{equation*}
\left[F_{1}(x), y\right]^{n}-F_{2}(x) y-y F_{3}(x)=0 \quad \text { for all }(x, y) \in O_{1} \times O_{2} \tag{3.1}
\end{equation*}
$$

Let $u \in \mathcal{A}$ and let $x \in O_{1}$. Then $x+t u \in O_{1}$ for a sufficiently small real $t$.
Since $F_{1}, F_{2}$, and $F_{3}$ being continuous, one can obviously see that $F_{i}(r u)=$ $r F_{i}(u)$ for all $u \in A, r \in \mathbb{R}, i \in\{1,2,3\}$. Replacing $x$ by $x+t u$ in (3.1), we get

$$
\begin{equation*}
\left(\left[F_{1}(x), y\right]+\left[F_{1}(u), y\right] t\right)^{n}-\left(F_{2}(x) y+y F_{3}(x)+\left(F_{2}(u) y+y F_{3}(u)\right) t\right)=0 \tag{3.2}
\end{equation*}
$$

Let $P_{n, m}(u, x, y)$ denote the sum of all monic monomials with $n$ occurrences of $\left[F_{1}(x), y\right]$ and $m$ occurrences of $\left[F_{1}(u), y\right]$. It follows from (3.2) that

$$
Q(t)=\sum_{k=0}^{n} P_{n-k, k}(u, x, y) t^{k}-\left(F_{2}(x) y+y F_{3}(x)+\left(F_{2}(u) y+y F_{3}(u)\right) t\right)=0
$$

Set $Q(t)=\sum_{k=0}^{n} q_{k}(u, x, y) t^{k}$ with $q_{0}(u, x, y)=\left[F_{1}(x), y\right]^{n}-F_{2}(x) y-y F_{3}(x)$, $q_{1}(u, x, y)=P_{n-1,1}(u, x, y)-F_{2}(u) y-y F_{3}(u)$, and $q_{k}(u, x, y)=P_{n-k, k}(u, x, y)$ for all $k \in\{2, \ldots, n\}$. Since (0) is a closed linear subspace of $\mathcal{A}$, then Lemma 3.1 yields $q_{k}(u, x, y)=0$ for all $k \in\{0, \ldots, n\}$. In particular, $q_{n}(u, x, y)=0$, that is,

$$
\left[F_{1}(u), y\right]^{n}=0 \quad \text { for all }(u, y) \in \mathcal{A} \times O_{2}
$$

Similarly, one can show that

$$
\begin{equation*}
\left[F_{1}(u), v\right]^{n}=0 \quad \text { for all } u, v \in \mathcal{A} . \tag{3.3}
\end{equation*}
$$

By view of (3.3), equation (3.1) reduces to $F_{2}(x) y+y F_{3}(x)=0$ for all $(x, y) \in$ $O_{1} \times O_{2}$.

Using the same techniques as above, we obviously get

$$
F_{2}(x) y+y F_{3}(x)=0 \text { for all } x, y \in \mathcal{A}
$$

Therefore

$$
\left[F_{2}(x), r\right] y=0 \quad \text { and } \quad y\left[r, F_{3}(x)\right]=0, \quad \text { for all } r, x, y \in \mathcal{A},
$$

proving that $F_{2}(x) \in Z(R)$ and $F_{3}(x) \in Z(R)$ for all $x \in \mathcal{A}$. Since $F_{2}$ and $F_{3}$ being nonzero, it follows from Fact 2.2 that $\mathcal{A}$ is commutative.

Arguing in a similar manner with slight modifications, we get the following theorem.

Theorem 3.3. Let $\mathcal{A}$ be a prime Banach algebra, let $O_{1}$ and $O_{2}$ be nonvoid open subsets of $\mathcal{A}$,let $F_{1}, F_{2}$, and $F_{3}$ be nonzero continuous generalized derivations of $\mathcal{A}$, and let $n$ be a fixed positive integer. If

$$
\left(F_{1}(x) \circ y\right)^{n}=F_{2}(x) y+y F_{3}(x), \quad \text { for all }(x, y) \in O_{1} \times O_{2}
$$

then $\mathcal{A}$ is commutative.
Theorem 3.4. Let $\mathcal{A}$ be a noncommutative prime Banach algebra, $O_{1}, O_{2}$ nonvoid open subsets of $\mathcal{A}, F_{1}, F_{2}$ and $F_{3}$ continuous generalized derivations of $\mathcal{A}$. If

$$
\left[F_{1}\left(x^{r}\right), y^{s}\right]=F_{2}\left(x^{r}\right) y^{s}+y^{s} F_{3}\left(x^{r}\right), \quad \text { for all }(x, y) \in O_{1} \times O_{2}
$$

where $r$ and $s$ are nonzero integers depending on the pair of elements $x$ and $y$, then one of the following conditions holds:
(1) There exist $\lambda, \mu \in C$ such that $F_{2}(x)=\lambda F_{1}(x), F_{3}(x)=\mu F_{1}(x)$ for any $x \in \mathcal{A}$;
(2) $\mathcal{A}$ embeds in a $2 \times 2$ matrix ring over a field.

Proof. Assume that $\mathcal{A}$ does not embed in a $2 \times 2$ matrix ring over a field. Let us fix $x \in O_{1}$ and set

$$
K_{r, s}=\left\{y \in \mathcal{A} \mid\left[F_{1}\left(x^{r}\right), y^{s}\right]-F_{2}\left(x^{r}\right) y^{s}-y^{s} F_{3}\left(x^{r}\right) \neq 0\right\}
$$

We claim that each $K_{r, s}$ is open in $\mathcal{A}$ or equivalently its complement $K_{r, s}^{c}$ is closed. For this, we consider a sequence $\left(y_{k}\right)_{k \geq 1} \subset K_{r, s}^{c}$ converging to $y$ and prove that $y \in K_{r, s}^{c}$.

As $\left(y_{k}\right)_{k \geq 1} \subset K_{r, s}^{c}$ then $\left[F_{1}\left(x^{r}\right), y_{k}^{s}\right]-F_{2}\left(x^{r}\right) y_{k}^{s}+y_{k}^{s} F_{3}\left(x^{r}\right)=0$ for all $k \geq 1$.
Hence

$$
\begin{aligned}
\lim _{k \rightarrow \infty}\left[F_{1}\left(x^{r}\right), y_{k}^{s}\right]-F_{2}\left(x^{r}\right) y_{k}^{s}-y_{k}^{s} F_{3}\left(x^{r}\right)= & {\left[F_{1}\left(x^{r}\right),\left(\lim _{k \rightarrow \infty} y_{k}\right)^{s}\right]-F_{2}\left(x^{r}\right)\left(\lim _{k \rightarrow \infty} y_{k}\right)^{s} } \\
& -\left(\lim _{k \rightarrow \infty} y_{k}\right)^{s} F_{3}\left(x^{r}\right) \\
= & {\left[F_{1}\left(x^{r}\right), y^{s}\right]-F_{2}\left(x^{r}\right) y^{s}-y^{s} F_{3}\left(x^{r}\right) } \\
= & 0 .
\end{aligned}
$$

Therefore $y \in K_{r, s}^{c}$; thus $K_{r, s}$ is open. Suppose now that all the $K_{r, s}$ are dense in $\mathcal{A}$; then the intersection of $K_{r, s}$ is also dense by Baire category theorem, a contradiction with the fact that $O_{2} \neq \emptyset$. Hence there exist some positive integers
$p, q$ depending on $x$ such that $K_{p, q}$ is not dense. Accordingly, there exists a nonvoid open subset $O_{3}$ in $K_{p, q}^{c}$. Therefore

$$
\begin{equation*}
\left[F_{1}\left(x^{p}\right), y^{q}\right]-F_{2}\left(x^{p}\right) y^{q}-y^{q} F_{3}\left(x^{p}\right)=0 \quad \text { for all } y \in O_{3} . \tag{3.4}
\end{equation*}
$$

Let us consider $z \in O_{3}$ and $v \in \mathcal{A}, z+t v \in O_{3}$ for all sufficiently small real $t$.
Replacing $y$ by $z+t v$ in (3.4), we obtain

$$
\begin{equation*}
\left[F_{1}\left(x^{p}\right),(z+t v)^{q}\right]-F_{2}\left(x^{p}\right)(z+t v)^{q}-(z+t v)^{q} F_{3}\left(x^{p}\right)=0 . \tag{3.5}
\end{equation*}
$$

Let $P_{i, j}(x, v)$ denote the sum of all monic monomials with $i$ occurrences of $x$ and $j$ occurrences of $u$. Using the fact that

$$
(z+t v)^{q}=P_{q, 0}(z, v)+P_{q-1,1}(z, v) t+\cdots+P_{1, q-1}(z, v) t^{q-1}+P_{0, q}(z, v) t^{q}
$$

(3.5) yields that

$$
\left[F_{1}\left(x^{p}\right), \sum_{i=0}^{q} P_{q-i, i}(z, v) t^{i}\right]-F_{2}\left(x^{p}\right)\left(\sum_{i=0}^{q} P_{q-i, i}(z, v) t^{i}\right)-\left(\sum_{i=0}^{q} P_{q-i, i}(z, v) t^{i}\right) F_{3}\left(x^{p}\right)=0,
$$

which implies that

$$
Q(t):=\sum_{i=0}^{q}\left(\left[F_{1}\left(x^{p}\right), P_{q-i, i}(z, v)\right]-F_{2}\left(x^{p}\right) P_{q-i, i}(z, v)-P_{q-i, i}(z, v) F_{3}\left(x^{p}\right)\right) t^{i}=0
$$

Hence $Q(t)=\sum_{i=0}^{q} a_{i}(v, x, z) t^{i}=0$ with

$$
a_{i}(v, x, z)=\left[F_{1}\left(x^{p}\right), P_{q-i, i}(z, v)\right]-F_{2}\left(x^{p}\right) P_{q-i, i}(z, v)-P_{q-i, i}(z, v) F_{3}\left(x^{p}\right)
$$

By virtue of Lemma 3.1, we get $a_{i}(v, x, z)=0$ for all $i \in\{0, \ldots, q\}$. In particular, $a_{q}(v, x, z)=0$ so that $\left[F_{1}\left(x^{p}\right), v^{q}\right]-F_{2}\left(x^{p}\right) v^{q}-v^{q} F_{3}\left(x^{p}\right)=0$. In conclusion, we have proved that for a given $x \in O_{1}$, there exist some positive integers $p$ and $q$ depending on $x$, such that

$$
\left[F_{1}\left(x^{p}\right), v^{q}\right]-F_{2}\left(x^{p}\right) v^{q}-v^{q} F_{3}\left(x^{p}\right)=0 \quad \text { for all } v \in \mathcal{A}
$$

Let us fix $v \in \mathcal{A}$. Using a similar approach, we arrive at

$$
\left[F_{1}\left(u^{p}\right), v^{q}\right]=F_{2}\left(u^{p}\right) v^{q}+v^{q} F_{3}\left(u^{p}\right) \quad \text { for all } u, v \in \mathcal{A} .
$$

Now let $H_{1}$ and $H_{2}$ be the additive subgroups generated by $\left\{a^{p} \mid a \in \mathcal{A}\right\}$ and $\left\{a^{q} \mid a \in \mathcal{A}\right\}$, respectively. We have

$$
\begin{equation*}
\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x) \quad \text { for all }(x, y) \in H_{1} \times H_{2} \tag{3.6}
\end{equation*}
$$

According to [5], (3.6) yields that either $H_{1}$ contains a noncentral Lie ideal $J_{1}$ or $a^{p} \in Z(\mathcal{A})$ for all $a \in \mathcal{A}$, in which case, $\mathcal{A}$ is commutative by [12], a contradiction. Consequently, $H_{1}$ contains a noncentral Lie ideal $J_{1}$. Similarly, $H_{2}$ contains also a noncentral Lie ideal $J_{2}$. Now let

$$
I_{k}=\left\{x \in \mathcal{A} \mid[x, \mathcal{A}] \subset J_{k}\right\}
$$

with $k=1,2$. It follows from [8, Lemma 1.4] that $I_{1}$ and $I_{2}$ are both subrings and Lie ideals of $\mathcal{A}$. Therefore (3.6) becomes

$$
\begin{equation*}
\left[F_{1}(x), y\right]=F_{2}(x) y+y F_{3}(x) \quad \text { for all }(x, y) \in\left[I_{1}, \mathcal{A}\right] \times\left[I_{2}, \mathcal{A}\right] \tag{3.7}
\end{equation*}
$$

As $\left[I_{1}, \mathcal{A}\right]$ and $\left[I_{2}, \mathcal{A}\right]$ are dense submodules of $[\mathcal{A}, \mathcal{A}]$ then by [10, Theorem 2$]$, $[\mathcal{A}, \mathcal{A}]$ satisfies the same identity as $\left[I_{1}, \mathcal{A}\right]$ and $\left[I_{2}, \mathcal{A}\right]$. Hence (3.7) implies that

$$
\begin{equation*}
\left[F_{1}(x), y\right]-F_{2}(x) y-y F_{3}(x)=0 \quad \text { for all } x, y \in[\mathcal{A}, \mathcal{A}] \tag{3.8}
\end{equation*}
$$

Since $[\mathcal{A}, \mathcal{A}]$ is a noncentral Lie ideal, applying Theorem 2.6, we get the required result.

Using the same arguments with slight modifications, an application of Theorem 2.9 yields the following result.

Theorem 3.5. Let $\mathcal{A}$ be a noncommutative prime Banach algebra, let $O_{1}$ and $O_{2}$ be nonvoid open subsets of $\mathcal{A}$, and let $F_{1}, F_{2}$ and $F_{3}$ be continuous generalized derivations of $\mathcal{A}$. If

$$
F_{1}\left(x^{r}\right) \circ y^{s}=F_{2}\left(x^{r}\right) y^{s}+y^{s} F_{3}\left(x^{r}\right), \quad \text { for all }(x, y) \in O_{1} \times O_{2},
$$

where $r$ and $s$ are nonzero integers depending on the pair of elements $x$ and $y$, then one of the following conditions holds:
(1) There exist $\lambda, \mu \in C$ such that $F_{2}(x)=\lambda F_{1}(x), F_{3}(x)=\mu F_{1}(x)$ for any $x \in \mathcal{A}$;
(2) $\mathcal{A}$ embeds in a $2 \times 2$ matrix ring over a field.

The following example shows that the primeness hypothesis in Theorems 2.6 and 2.9 is not superfluous.

Example 3.6. Let us consider the ring $\mathcal{R}=M_{2}(\mathbb{R}) \times \mathbb{R}$ with operations coordinatewise addition and multiplication. It is obvious that $\mathcal{R}$ is a nonprime ring.

Consider the generalized derivation

$$
F_{M}((A, a))=(M A+A M, 0), \quad \text { where } M \in\left[M_{2}(\mathbb{R}), M_{2}(\mathbb{R})\right]
$$

with associated derivation $d_{M}$ defined by $d_{M}((A, a))=(A M-M A, 0)$.
Set $L=\left[M_{2}(\mathbb{R}), M_{2}(\mathbb{R})\right] \times \mathbb{R}$ along with $F_{1}=0, F_{2}=F_{M}$, and $F_{3}=-F_{M}$. A simple computation shows that
$\left[F_{1}((A, a)),(B, b)\right]=F_{1}((A, a)) \circ(B, b)=F_{2}((A, a))(B, b)+(B, b) F_{3}((A, a))=0$ for all $(A, a),(B, b) \in L$. However, none of the assertions of Theorems 2.6 and 2.9 are satisfied.

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